

p -Capitulation over Number Fields with p -Class Rank Two

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Towers of p -Class Fields over Algebraic Number Fields

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§ 0. Introduction

The aim of this lecture is to communicate **the most up-to-date techniques** for investigating the Hilbert p -class field tower

$$K \leq F_p^1 K \leq F_p^2 K \leq \dots \leq F_p^\infty K$$

of a finite algebraic number field $K|\mathbb{Q}$, for an assigned prime number p .

The **p -capitulation type** $\varkappa(K)$ of K is the first ingredient of this process.

Together with the **transfer target type** $\tau(K)$, it forms the **Artin pattern** $AP(K) = (\varkappa(K), \tau(K))$, which determines the two-stage approximation

$$G := G_p^2 K := \text{Gal}(F_p^2 K | K) \simeq H/H''$$

of the p -class tower group

$$H := G_p^\infty K := \text{Gal}(F_p^\infty K | K).$$

To provide a concrete numerical **application**, we have determined the metabelian group $G_3^2 K$, called the **second 3-class group**, for the **34 631** real quadratic fields $K = \mathbb{Q}(\sqrt{d})$ with fundamental discriminants $0 < d < \mathbf{10^8}$ and 3-class group $\text{Cl}_3 K$ of type $(3, 3)$.

0.1. Artin pattern: p -capitulation, transfer target

$p \dots$ a prime number,

$K \dots$ an algebraic number field,

$\text{Cl}(K)$ the ideal class group of K ,

$\text{Cl}_p K := \text{Syl}_p \text{Cl}(K)$ the p -class group of K ;

$L|K$ an *unramified* cyclic field extension of relative degree p ,

$J_{L|K} : \text{Cl}_p K \rightarrow \text{Cl}_p L$ the p -class **transfer** homomorphism,

$\ker J_{L|K}$ the **p -capitulation kernel** (transfer kernel) of $L|K$,

$\text{Cl}_p L$ the **transfer target** of $L|K$;

$E_p := \text{Cl}_p K \otimes_{\mathbb{Z}_p} \mathbb{F}_p$ the p -elementary class group of K ,

$\varrho := \dim_{\mathbb{F}_p} E_p$ the **p -class rank** of K ,

U_K the group of units of K .

Proposition 0.1. (Bounds for the Order of $\ker J_{L|K}$)

$$1 < \ker J_{L|K} \leq E_p \simeq \mathbb{F}_p^\varrho \quad \text{and}$$

$$p \leq \# \ker J_{L|K} = [L : K] \cdot (U_K : \text{Norm}_{L|K} U_L) \leq p^\varrho.$$

$F_p^1 K$ the Hilbert p -class field of K ,

$\text{Lyr}_1 K := \{K \leq L \leq F_p^1 K \mid [L : K] = p\} \dots$

\dots the first layer of unramified abelian extensions of K ,

$n := \frac{p^\varrho - 1}{p - 1}$ the cardinality of $\text{Lyr}_1 K = \{L_1, \dots, L_n\}$,

$\varkappa(K) := (\ker J_{L_i|K})_{1 \leq i \leq n}$ the **p -capitulation type** of K ,

$\tau(K) := (\text{Cl}_p L_i)_{1 \leq i \leq n}$ the **transfer target type** of K .

In the sequel, we focus on $\varrho = 2$, whence $n = \frac{p^2 - 1}{p - 1} = p + 1$.

0.2. Brief p -capitulation type and target type

In the case of $\text{Cl}_p K \simeq (p, p)$, which is relevant for our intended numerical application, the components of the **p -capitulation type** of K ,

$$\varkappa(K) := (\ker J_{L_i|K})_{1 \leq i \leq p+1},$$

are replaced by non-negative integers $j \in \{0, 1, \dots, p+1\}$. For a **partial** capitulation, we put

$$\varkappa_i(K) = j : \iff \ker J_{L_i|K} = \text{Norm}_{L_j|K} \text{Cl}_p L_j$$

with $1 \leq j \leq p+1$.

For a **total** capitulation, we put

$$\varkappa_i(K) = 0 : \iff \ker J_{L_i|K} = \text{Cl}_p K.$$

(For $\text{Cl}_p K \simeq (p^u, p)$ or (p^u, p^v) , see the Appendix II.)

The components of the **transfer target type** of K ,

$$\tau(K) := (\text{Cl}_p L_i)_{1 \leq i \leq p+1},$$

are replaced by the logarithmic form of abelian type invariants,

$$\text{Cl}_p L_i \simeq C(p^{e_1})^{m_1} \times \dots \times C(p^{e_s})^{m_s} \iff : \tau_i(K) = (e_1^{m_1}, \dots, e_s^{m_s}).$$

Example:

If $p = 3$, then $n = 4$, and

$$\text{Cl}_3 L_1 \simeq C(9)^2, \text{Cl}_3 L_i \simeq C(3)^2 \text{ for } 2 \leq i \leq 4$$

$$\iff : \tau(K) = (2^2, (1^2)^3).$$

0.3. Main theorem on class, coclass, defect of G_3^2K

Theorem 0.1. (The Second 3-Class Group)

Let $p = 3$, then the transfer target type $\tau(K) := (\text{Cl}_3L_i)_{1 \leq i \leq 4}$

of a number field K with 3-class group $\text{Cl}_3K \simeq (3, 3)$

determines the nilpotency class $c = \text{cl}(G)$,

the coclass $r = \text{cc}(G)$, and the defect $k = k(G)$

of the second 3-class group $G = G_3^2K$ as follows:

$$\#\text{Cl}_3L_1 = 3^{c-k},$$

$$\#\text{Cl}_3L_2 = 3^{r+1},$$

$$\#\text{Cl}_3L_i = 3^2 \text{ for } 3 \leq i \leq 4, \text{ if } r = 1,$$

$$\#\text{Cl}_3L_i = 3^3 \text{ for } 3 \leq i \leq 4, \text{ if } r \geq 2.$$

(Proof: [1] D. C. Mayer, IJNT **8** (2012), no. 2, 471–505.)

Corollary 0.1. (The Hilbert 3-Class Field)

The 3-class group of the Hilbert 3-class field F_3^1K

of a number field K with 3-class group $\text{Cl}_3K \simeq (3, 3)$

admits the separation of the nilpotency class $c = \text{cl}(G)$

and the defect $k = k(G)$ with $c - k \geq r + 1$:

$$\#\text{Cl}_3F_3^1K = 3^{c+r-2}.$$

Example:

$\tau(K) = (2^2, (1^2)^3)$ and $\text{Cl}_3F_3^1K \simeq 2^2$ together imply

$c - k = 4$, $r + 1 = 2$, $c + r - 2 = 4$, and thus

$r = 1$, $c = 5$ and $k = 1$.

§§ 1–4. Preliminary Observations

The large-scale computation of the second 3-class group G_3^2K for the **34 631 real** quadratic fields $K = \mathbb{Q}(\sqrt{d})$ with fundamental discriminants $0 < d < \mathbf{10^8}$ and 3-class group Cl_3K of type $(3, 3)$ would have been impossible prior to the release of **MAGMA Version V2.21-8** in November 2015.

Even more striking, the large-scale computation of the second 3-class group G_3^2K for the **24 476 imaginary** quadratic fields $K = \mathbb{Q}(\sqrt{d})$ with fundamental discriminants $-\mathbf{10^7} < d < 0$ and 3-class group Cl_3K of type $(3, 3)$ in Appendix I was definitely outside of the scope before **MAGMA Version V2.22-1**, released June 2016.

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§ 1. Statistics for $\text{cc}(G_3^2 K) = 1$

TABLE 1. 3-Capitulation Types a

Type	Artin Pattern		Freq.	$G_3^2 K$ [2, 3]	#
	\varkappa	τ			
a.2	(1000)	$21, (1^2)^3$	7 104	$\langle 3^4, 10 \rangle$	1
a.3	(2000)	$21, (1^2)^3$	10 514	$\langle 3^4, 8 \rangle$	1
a.3*	(2000)	$1^3, (1^2)^3$	10 244	$\langle 3^4, 7 \rangle$	1
a.1	(0000)	$2^2, (1^2)^3$	2 180	$\langle 3^6, 99 \dots 101 \rangle$	3
a.2 \uparrow	(1000)	$32, (1^2)^3$	242	$\langle 3^6, 96 \rangle$	1
a.3 \uparrow	(2000)	$32, (1^2)^3$	713	$\langle 3^6, 97 98 \rangle$	2
a.1 \uparrow	(0000)	$3^2, (1^2)^3$	58	$M_7 - \#1; 5 \dots 7$	3
a.2 \uparrow^2	(1000)	$43, (1^2)^3$	9	$M_7 - \#1; 2$	1
a.3 \uparrow^2	(2000)	$43, (1^2)^3$	20	$M_7 - \#1; 3 4$	2
a.1 \uparrow^2	(0000)	$4^2, (1^2)^3$	3	$M_9 - \#1; 5 \dots 7$	3
a.2 \uparrow^3	(1000)	$54, (1^2)^3$	1	$M_9 - \#1; 2$	1
Total:			31 088	89.77% of 34 631	

In Table 1, $M_7 := \langle 3^7, 386 \rangle$ and $M_9 := M_7 - \#1; 1 - \#1; 1$.

Theorem 1.1. (Two-Stage Towers)

The 3-class field tower of a real quadratic field K with 3-capitulation $\varkappa(K)$ of type a.1 or a.2 or a.3 (either in the ground state or in any excited state) possesses the exact length $\ell_3 K = 2$.

The 3-class tower group $H = G_3^\infty K$ with $H'' = 1$ is isomorphic to its metabelianization $H/H'' \simeq G_3^2 K$.

(Proof: [4] D. C. Mayer, arXiv: 1601.00179v1 [math.NT].)

FIGURE 1. Population of the unique coclass-1 tree $\mathcal{T}^1(C_3 \times C_3)$

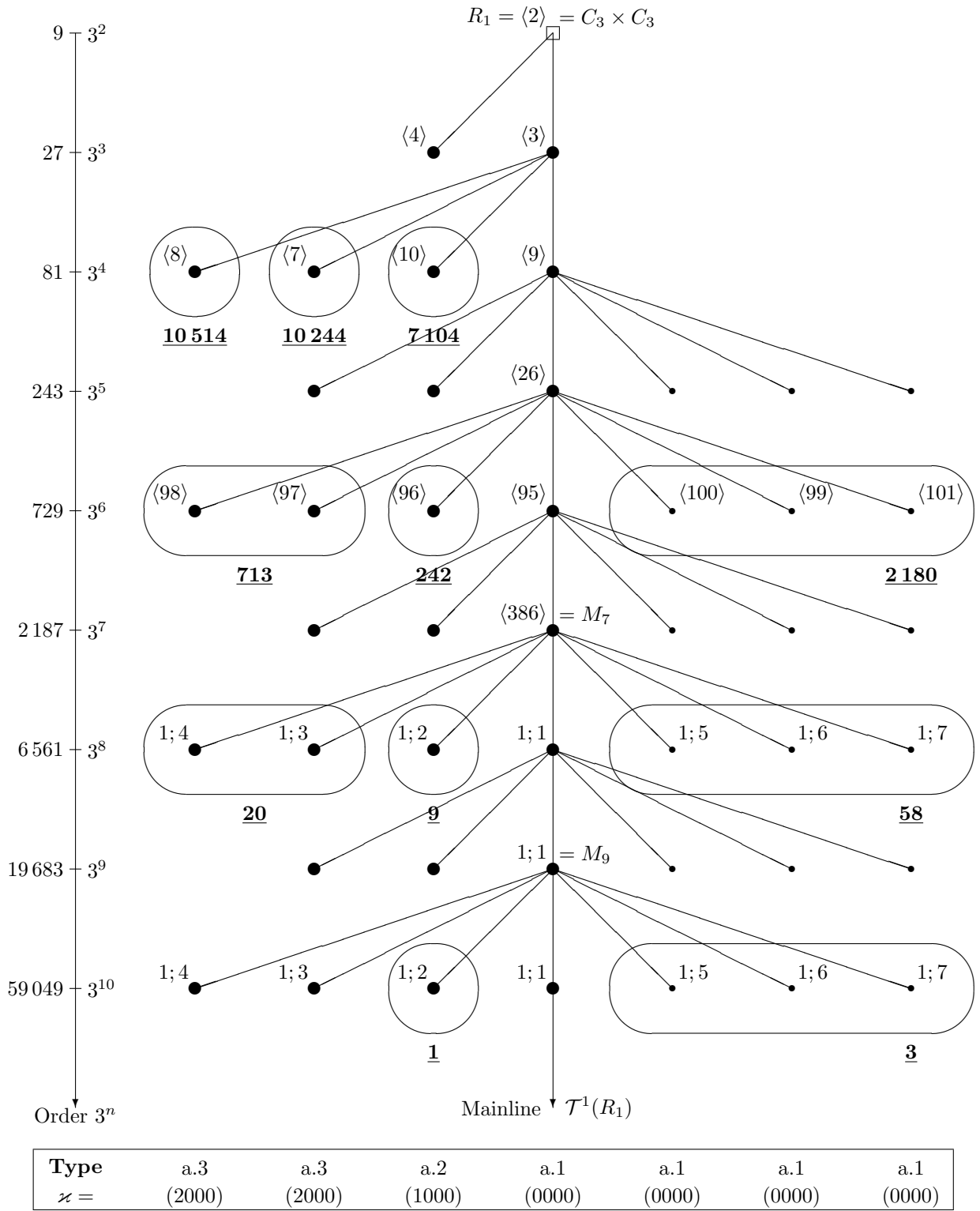


Table 1 and Figure 1 impressively demonstrate the wealth of realizations of finite 3-groups G of coclass $\text{cc}(G) = 1$, also called 3-groups of **maximal class**, by second 3-class groups $G_3^2 K$ of **real quadratic fields** K .

(Note that for imaginary quadratic fields, whose 3-capitulation can only be partial by Proposition 0.1, the tree $\mathcal{T}^1(C_3 \times C_3)$ is entirely forbidden.)

With nearly 90%, precisely 89.77%, of 34 631 fields this contribution of 31 088 fields is definitely **dominating**.

The large-scale **separation of the types a.2 and a.3** became feasible for the first time **by our new algorithm**. It shows that the *ground state* of type a.3 alone covers 30.36% (nearly a third) with its 10 514 hits.

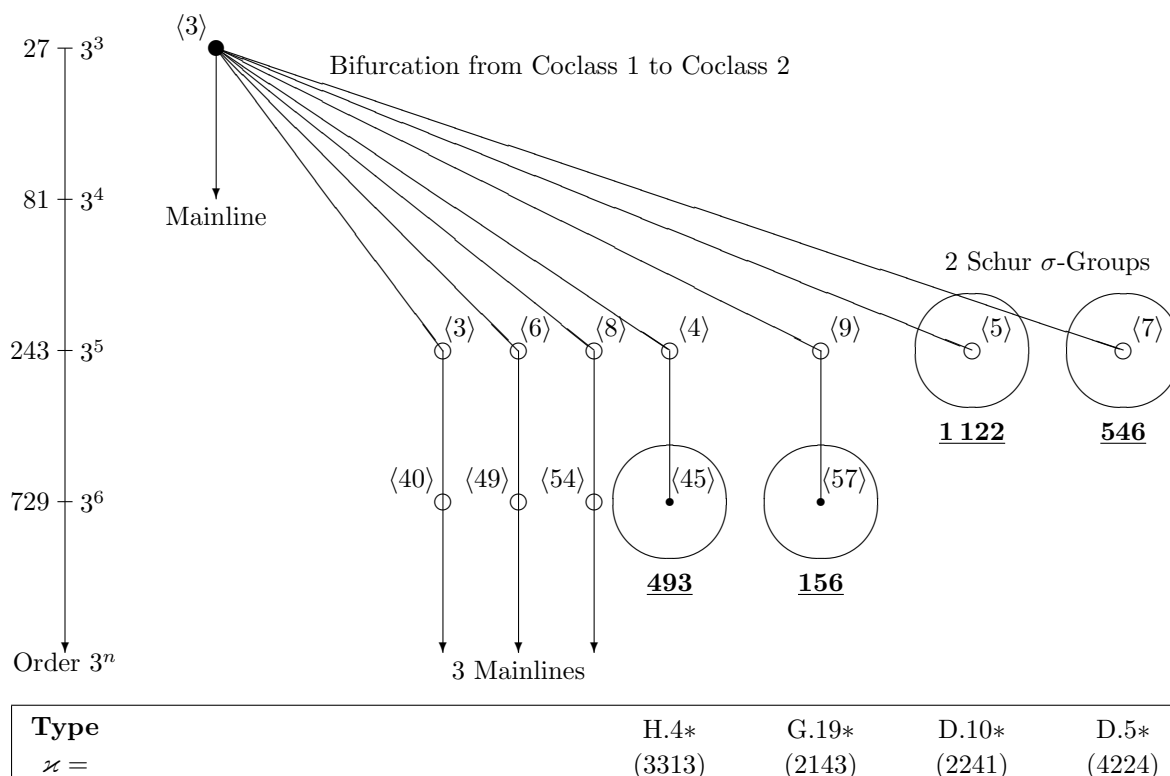
In view of Theorem 1.1, the computed distribution proves that 90% of the **real quadratic fields** are happy with a **tower of only two stages**, though the *order* of $G_3^\infty K$ is *unbounded*, due to the existence of infinitely many *excited states* $\uparrow, \uparrow^2, \dots$

§ 2. Statistics for $\text{cc}(G_3^2K) = 2$

TABLE 2. 3-Capitulation Types c, D, E, G and H

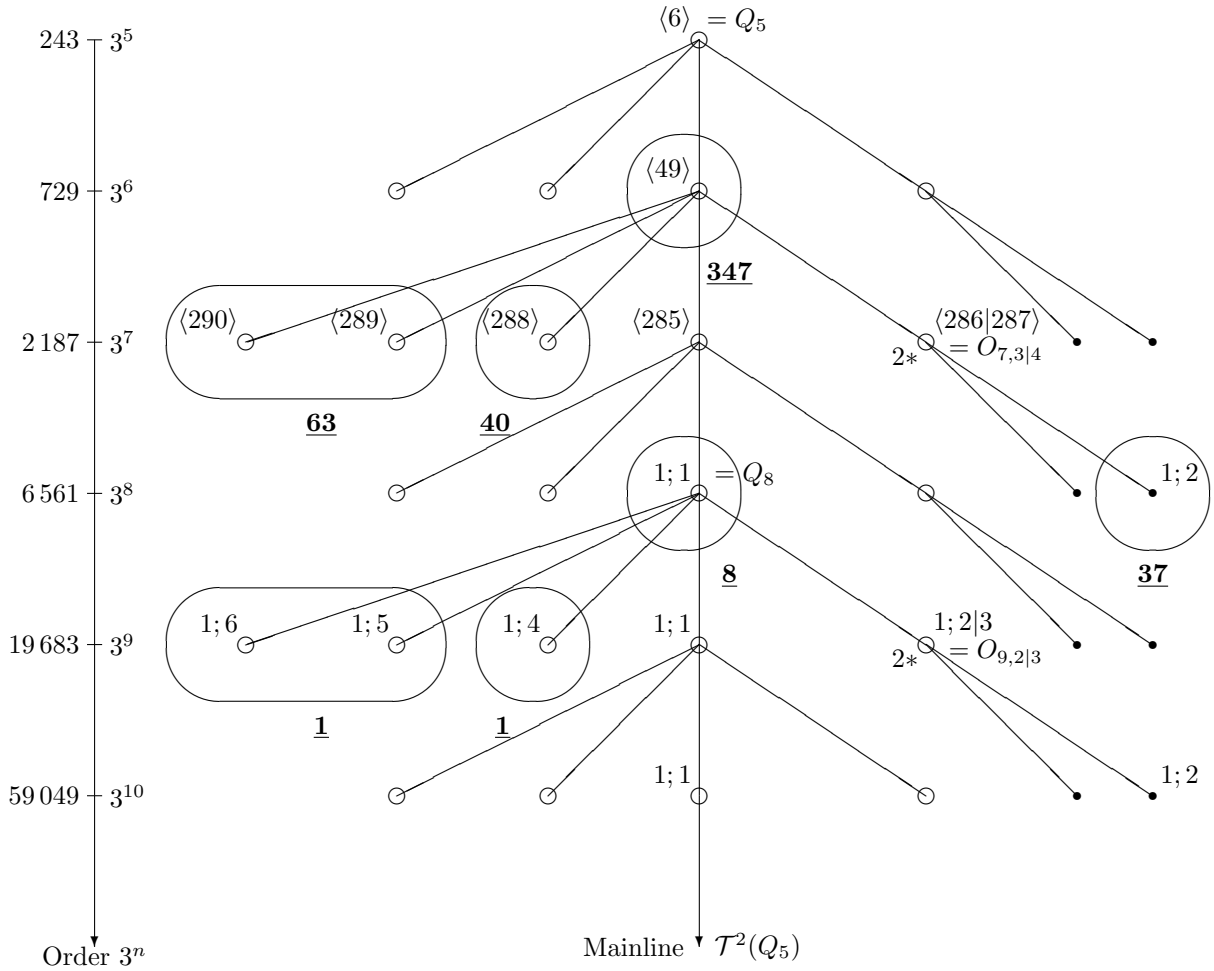
Type	Artin Pattern		Freq.	G_3^2K [2, 3]	#
	\varkappa	τ			
D.5*	(4224)	$1^3, 21, 1^3, 21$	546	$\langle 3^5, 7 \rangle$	1
D.10*	(2241)	$21, 21, 1^3, 21$	1 122	$\langle 3^5, 5 \rangle$	1
G.19*	(2143)	$(21)^4$	156	$\langle 3^6, 57 \rangle$	1
H.4*	(4443)	$(1^3)^2, 21, 1^3$	493	$\langle 3^6, 45 \rangle$	1
c.18	(0313)	$2^2, 21, 1^3, 21$	347	$\langle 3^6, 49 \rangle$	1
E.6	(1313)	$32, 21, 1^3, 21$	40	$\langle 3^7, 288 \rangle$	1
E.14	(2313)	$32, 21, 1^3, 21$	63	$\langle 3^7, 289 290 \rangle$	2
H.4	(3313)	$32, 21, 1^3, 21$	37	$O_{7,3 4} - \#1; 2$	2
c.21	(0231)	$2^2, (21)^3$	358	$\langle 3^6, 54 \rangle$	1
E.8	(1231)	$32, (21)^3$	30	$\langle 3^7, 304 \rangle$	1
E.9	(2231)	$32, (21)^3$	83	$\langle 3^7, 302 306 \rangle$	2
G.16	(4231)	$32, (21)^3$	27	$S_{7,1 5} - \#1; 4$	2
c.18 \uparrow	(0313)	$3^2, 21, 1^3, 21$	8	Q_8	1
E.6 \uparrow	(1313)	$43, 21, 1^3, 21$	1	$Q_8 - \#1; 4$	1
E.14 \uparrow	(2313)	$43, 21, 1^3, 21$	1	$Q_8 - \#1; 5 6$	2
c.21 \uparrow	(0231)	$3^2, (21)^3$	12	U_8	1
E.8 \uparrow	(1231)	$43, (21)^3$	2	$U_8 - \#1; 2$	1
E.9 \uparrow	(2231)	$43, (21)^3$	1	$U_8 - \#1; 4 6$	2
G.16 \uparrow	(4231)	$43, (21)^3$	1	$S_{9,3 5} - \#1; 2$	2
Total:			3 328	9.61% of 34 631	

In Table 2, $Q_8 := \langle 3^7, 285 \rangle - \#1; 1$, $U_8 := \langle 3^7, 303 \rangle - \#1; 1$,
 $O_{7,3|4} := \langle 3^7, 286|287 \rangle$, $S_{7,1|5} := \langle 3^7, 301|305 \rangle$, and
 $S_{9,3|5} := U_8 - \#1; 3|5$.

FIGURE 2. Population of the sporadic coclass-2 graph $\mathcal{G}_0(3, 2)$ 

In contrast to the coclass-1 graph $\mathcal{G}(3, 1)$, which consists of the single coclass tree $\mathcal{T}^1(C_3 \times C_3)$, the coclass-2 graph $\mathcal{G}(3, 2)$ is a **forest** which is constituted by a **finite sporadic part** $\mathcal{G}_0(3, 2)$ and **two admissible coclass trees** $\mathcal{T}^2(Q_5)$ and $\mathcal{T}^2(U_5)$. (There is yet another coclass tree $\mathcal{T}^2(B_5)$ with root $\langle 3^5, 3 \rangle$ which is entirely forbidden, even for real quadratic fields.) Consequently, we must split the graphical representation of the distribution in Table 2 into three Figures 2, 3, and 4.

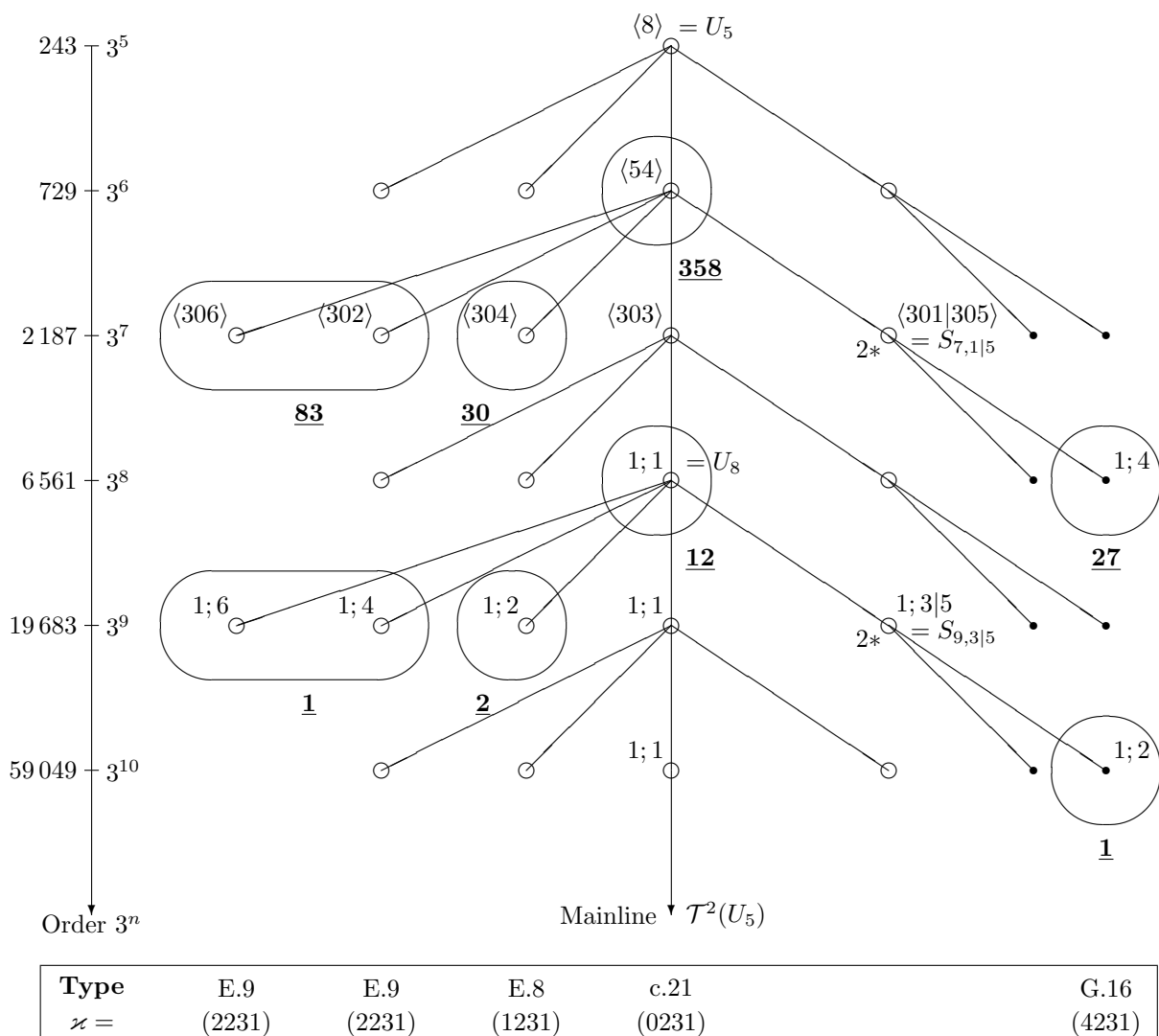
FIGURE 3. Population of the first admissible coclass-2 tree $\mathcal{T}^2(Q_5)$



Type	E.14	E.14	E.6	c.18	H.4
$\varkappa =$	(2313)	(2313)	(1313)	(0313)	(3313)

The large-scale **separation of the types E.6 and E.14** became feasible for the first time **by our new algorithm**.

For identifying the groups $G_3^2 K$ of type H.4, it was **not necessary** to compute Hilbert 3-class fields $F_3^1 K$ of absolute degree 18.

FIGURE 4. Population of the second admissible coclass-2 tree $\mathcal{T}^2(U_5)$ 

The large-scale **separation of the types E.8 and E.9** became feasible for the first time **by our new algorithm**.

For identifying the groups $G_3^2 K$ of type G.16, it was **not necessary** to compute Hilbert 3-class fields $F_3^1 K$ of absolute degree 18.

Theorem 2.1. (Three-Stage Towers)

The 3-class field tower of a real quadratic field K
 with 3-capitulation $\varkappa(K)$ of type c.18 or c.21
 (either in the ground state or in any excited state)
 possesses the exact length $\ell_3 K = 3$.

The 3-class tower group $H = G_3^\infty K$ with $H'' > 1$
 is a proper extension of its metabelianization $H/H'' \simeq G_3^2 K$.

(Proof: [5] D. C. Mayer, TMMP **64** (2015), 21 – 57.)

Theorem 2.2. (Two- or Three-Stage Towers)

The 3-class field tower of a real quadratic field K
 with 3-capitulation $\varkappa(K)$ of type E.6 or E.8 or E.9 or E.14
 (either in the ground state or in any excited state)
 possesses a length bounded by $2 \leq \ell_3 K \leq 3$.

(Proof:

- [6] D. C. Mayer, APM **5** (2015), no. 4, 162–195,
- [7] D. C. Mayer, JAMP **3** (2015), no. 7, 746–756, and
- [8] D. C. Mayer, APM **5** (2015) no. 5, 286–313.)

Additionally, we mention that the 3-class tower groups $G_3^\infty K$
 of real quadratic fields K with type D.5* or D.10*
 have fixed order 3^5 and derived length 2,
 and that the smallest possible 3-class tower groups $G_3^\infty K$
 of real quadratic fields K with type G.19* or H.4*
 have order 3^7 and derived length 3.

(Proof: [4] D. C. Mayer, arXiv: 1601.00179v1 [math.NT].)

§ 3. Statistics for $\text{cc}(G_3^2 K) = 3$

TABLE 3. 3-Capitulation Types b and d

Type	Artin Pattern		Freq.	$G_3^2 K$ [2, 3]	#
	\varkappa	τ			
b.10	(0043)	$(2^2)^2, (1^3)^2$	95	$P_7 - \#1; 21 \dots 26$	6
d.19	(4043)	$32, 2^2, (1^3)^2$	49	$P_7 - \#1; 4 5$	2
d.23	(1043)	$32, 2^2, (1^3)^2$	16	$P_7 - \#1; 6$	1
d.25	(2043)	$32, 2^2, (1^3)^2$	22	$P_7 - \#1; 7 8$	2
b.10 \uparrow	(0043)	$3^2, 2^2, (1^3)^2$	6	$P_9 - \#1; 21 \dots 29$	9
d.19 \uparrow	(4043)	$43, 2^2, (1^3)^2$	1	$P_9 - \#1; 2 3$	2
d.23 \uparrow	(1043)	$43, 2^2, (1^3)^2$	1	$P_9 - \#1; 4$	1
Total:			190	0.55% of 34 631	

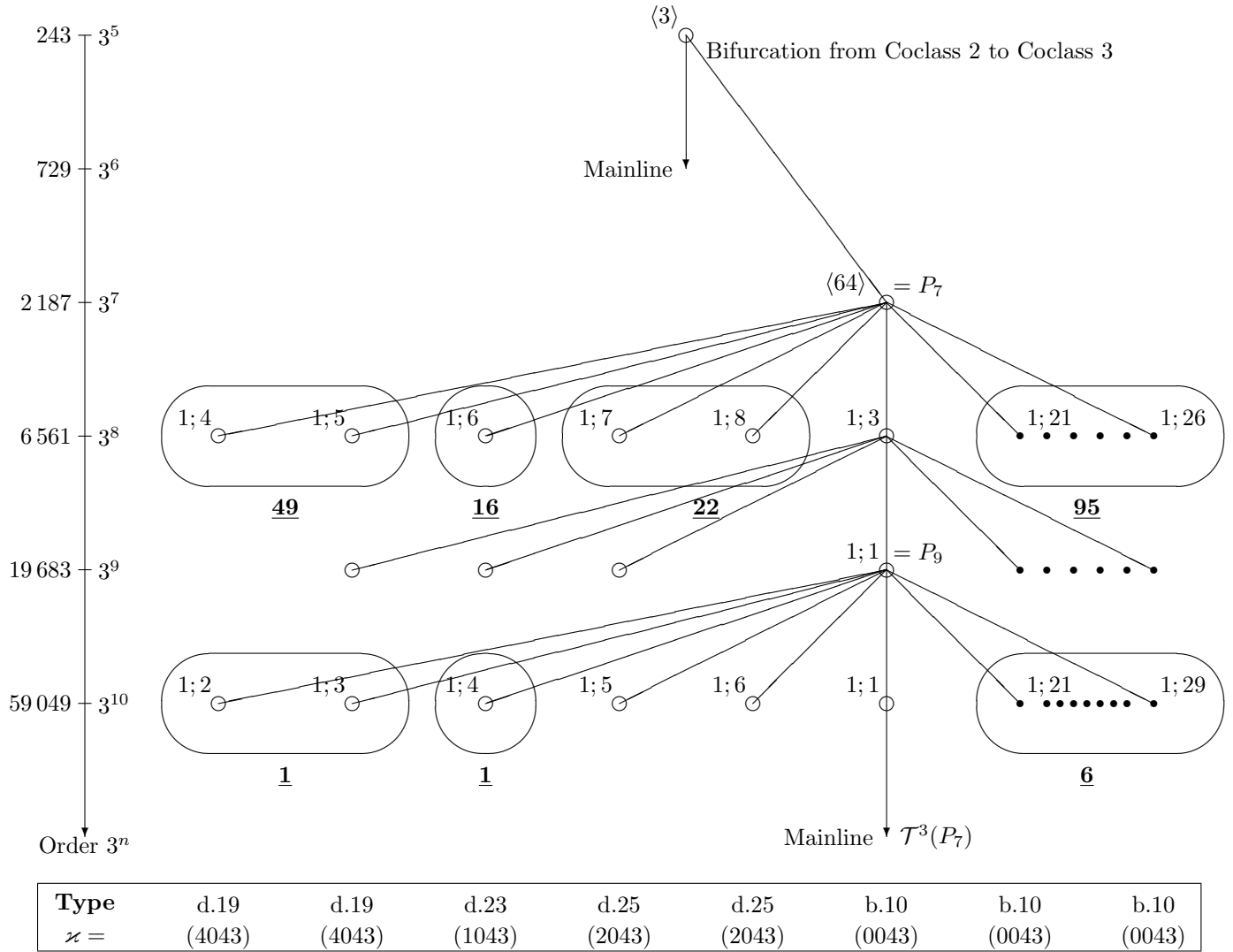
In Table 3, $P_7 := \langle 3^7, 64 \rangle$ and $P_9 := P_7 - \#1; 3 - \#1; 1$.

The coclass-3 graph $\mathcal{G}(3, 3)$ is a **forest**, constituted by a forbidden finite sporadic part $\mathcal{G}_0(3, 3)$, three coclass trees which are forbidden for any quadratic field, and a **single admissible coclass tree** $\mathcal{T}^3(P_7)$.

The graphical representation of the distribution in Table 3 is drawn in Figure 5.

The smallest possible 3-class tower groups $G_3^\infty K$ of real quadratic fields K with type b.10, d.19, d.23 or d.25 have order 3^9 and derived length 3.

FIGURE 5. Population of the admissible coclass-3 tree $\mathcal{T}^3(P_7)$



In the restricted range $0 < d < 10^7$, investigated in 2010, the occurrence of type b.10, d.19, d.23 was still highly **exotic**, with 8, 1, 1 hits by $G_3^2 K$ for $K = \mathbb{Q}(\sqrt{d})$, respectively. Now we have a rather representative collection of examples for the extended range $0 < d < 10^8$. The **terminal variant** of type d.25 appears here for the first time, and even some *excited states* show up.

§ 4. Statistics for $\text{cc}(G_3^2K) = 4$

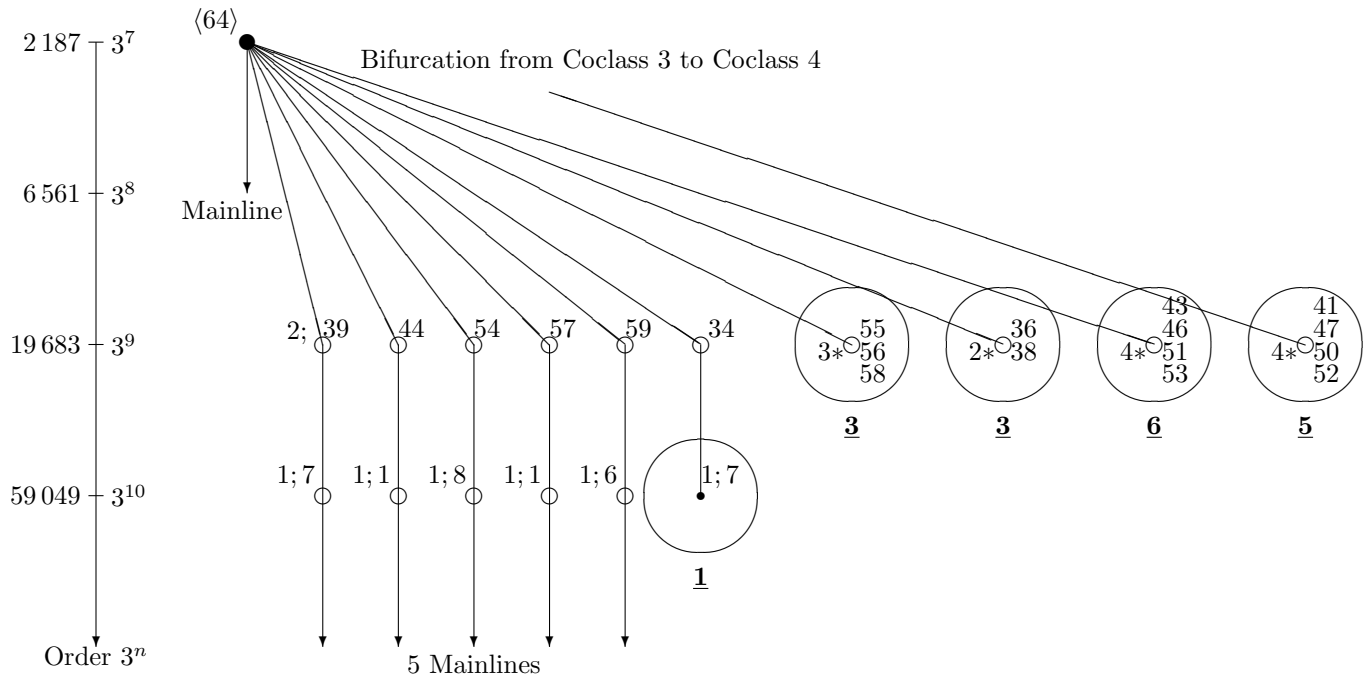
TABLE 4. 3-Capitulation Types d, F and H

Type	Artin Pattern		Freq.	G_3^2K [2, 3]	#
	\varkappa	τ			
F.7*	(3443)	$(32)^2, (1^3)^2$	3	$S_{9,55 56 58}$	3
F.11*	(1143)	$(32)^2, (1^3)^2$	3	$S_{9,36 38}$	2
F.12*	(1343)	$(32)^2, (1^3)^2$	6	$S_{9,43 46 51 53}$	4
F.13*	(3143)	$(32)^2, (1^3)^2$	5	$S_{9,41 47 50 52}$	4
H.4i*	(4443)	$(32)^2, (1^3)^2$	1	$S_{9,34} - \#1; 7$	1
d.25m	(0143)	$3^2, 32, (1^3)^2$	4	$S_{10,57 59}$	2
F.7	(3443)	$43, 32, (1^3)^2$	1	$S_{10,39 44} - \#1; 5 6$	4
F.12	(1343)	$43, 32, (1^3)^2$	1	$S_{10,39} - \#1; 2 9, S_{10,44} - \#1; 3 8,$ $S_{10,54} - \#1; 2 4 6 8$	8
F.13	(3143)	$43, 32, (1^3)^2$	1	$S_{10,39} - \#1; 3 8, S_{10,44} - \#1; 2 9,$ $S_{10,57} - \#1; 2 4, S_{10,59} - \#1; 3 4$	8
Total:			25	0.07% of 34 631	

In Table 4, $S_{9,j} := P_7 - \#2; j$, where $P_7 := \langle 3^7, 64 \rangle$, and $S_{10,39} := S_{9,39} - \#1; 7$, $S_{10,44} := S_{9,44} - \#1; 1$, $S_{10,54} := S_{9,54} - \#1; 8$, $S_{10,57} := S_{9,57} - \#1; 1$, $S_{10,59} := S_{9,59} - \#1; 6$.

The coclass-4 graph $\mathcal{G}(3, 4)$ is a **forest**, constituted by a **finite sporadic part** $\mathcal{G}_0(3, 4)$ and **five admissible coclass trees** $\mathcal{T}^4(S_{9,j})$ with $j \in \{39, 44, 54, 57, 59\}$. (There is yet another coclass tree $\mathcal{T}^4(S_{9,33})$ which is entirely forbidden, even for real quadratic fields.) We restrict ourselves to the graphical representation of the sporadic distribution in Table 4 by Figure 6.

FIGURE 6. Population of the sporadic coclass-4 graph $\mathcal{G}_0(3, 4)$



Type	H.4i*	F.7*	F.11*	F.12*	F.13*
$\varkappa =$	(4443)	(3443)	(1143)	(1343)	(3143)

The smallest possible 3-class tower groups $G_3^\infty K$ of real quadratic fields K with type F.7, F.11, F.12 or F.13 have order 3^{10} and derived length 3.

The symbol d.25m in Table 4 indicates the **mainline variant** of type d.25 which differs essentially from the terminal variant of type d.25 in Table 3.

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APPENDIX I

Numerical Application to
Imaginary Quadratic Fields

§ 1. Our Second Large-Scale Computation in June 2016 with MAGMA V2.22-1

To enable an intriguing **comparison**, we have determined the metabelian group G_3^2K , called the **second 3-class group**, for the **24 476** imaginary quadratic fields $K = \mathbb{Q}(\sqrt{d})$ with fundamental discriminants $-\mathbf{10}^7 < d < 0$ and 3-class group Cl_3K of type $(3, 3)$.

The most **striking difference** to real quadratic fields is the restriction of the distribution of second 3-class groups G_3^2K to coclass graphs $\mathcal{G}(3, r)$ with **even coclass** $r \geq 2$.

The lack of odd coclass is compensated by the population of even coclass with decisively **higher density** and by the occurrence of the elevated coclass $r = 6$.

Thus we have three Tables 5, 6, and 7 for $r = 2$, $r = 4$, and $r = 6$.

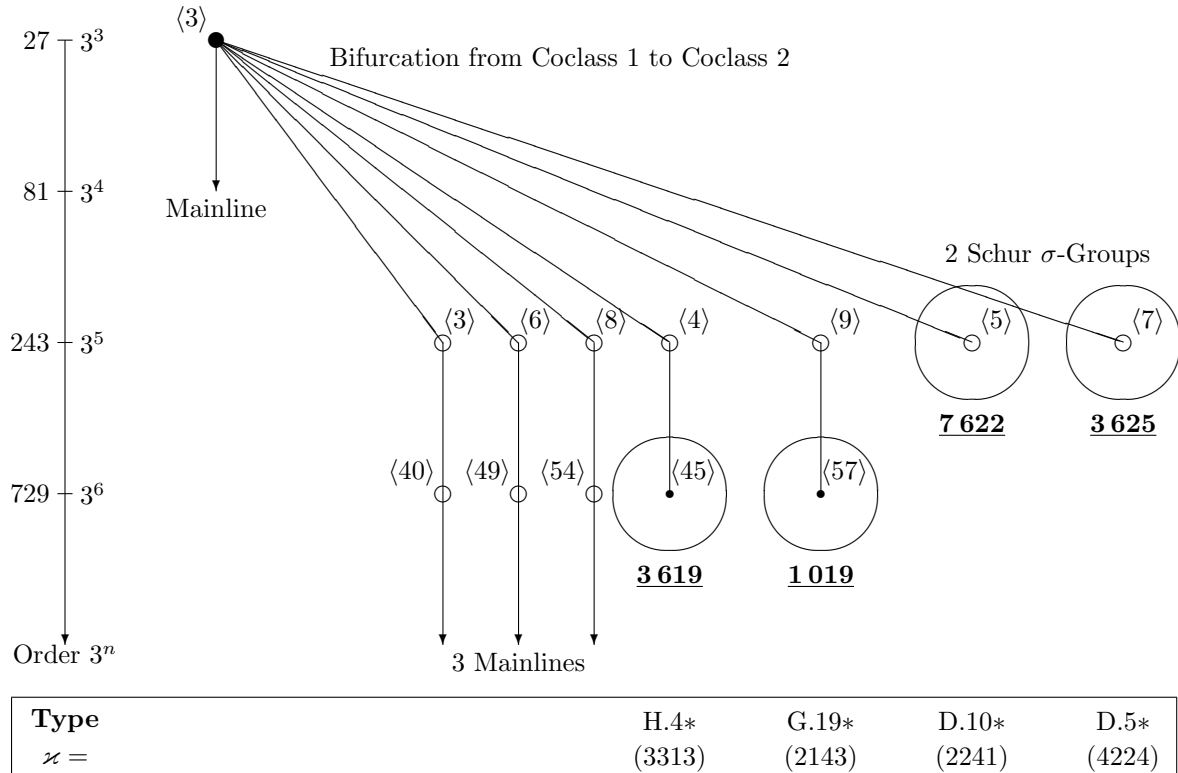
§ 2. Statistics for $\text{cc}(G_3^2 K) = 2$

TABLE 5. 3-Capitulation Types D, E, G and H

Type	Artin Pattern		Freq.	$G_3^2 K$	#
	\varkappa	τ			
D.5*	(4224)	$1^3, 21, 1^3, 21$	3 625	$\langle 3^5, 7 \rangle$	1
D.10*	(2241)	$21, 21, 1^3, 21$	7 622	$\langle 3^5, 5 \rangle$	1
G.19*	(2143)	$(21)^4$	1 019	$\langle 3^6, 57 \rangle$	1
H.4*	(4443)	$(1^3)^2, 21, 1^3$	3 619	$\langle 3^6, 45 \rangle$	1
E.6	(1313)	$32, 21, 1^3, 21$	760	$\langle 3^7, 288 \rangle$	1
E.14	(2313)	$32, 21, 1^3, 21$	1 572	$\langle 3^7, 289 290 \rangle$	2
H.4	(3313)	$32, 21, 1^3, 21$	781	$O_{7,3 4} - \#1; 2$	2
E.8	(1231)	$32, (21)^3$	798	$\langle 3^7, 304 \rangle$	1
E.9	(2231)	$32, (21)^3$	1 583	$\langle 3^7, 302 306 \rangle$	2
G.16	(4231)	$32, (21)^3$	809	$S_{7,1 5} - \#1; 4$	2
E.6 \uparrow	(1313)	$43, 21, 1^3, 21$	88	$Q_8 - \#1; 4$	1
E.14 \uparrow	(2313)	$43, 21, 1^3, 21$	164	$Q_8 - \#1; 5 6$	2
H.4 \uparrow	(3313)	$43, 21, 1^3, 21$	85	$O_{9,2 3} - \#1; 2$	2
E.8 \uparrow	(1231)	$43, (21)^3$	82	$U_8 - \#1; 2$	1
E.9 \uparrow	(2231)	$43, (21)^3$	147	$U_8 - \#1; 4 6$	2
G.16 \uparrow	(4231)	$43, (21)^3$	100	$S_{9,3 5} - \#1; 2$	2
Total:			22 900	93.56% of 24 476	

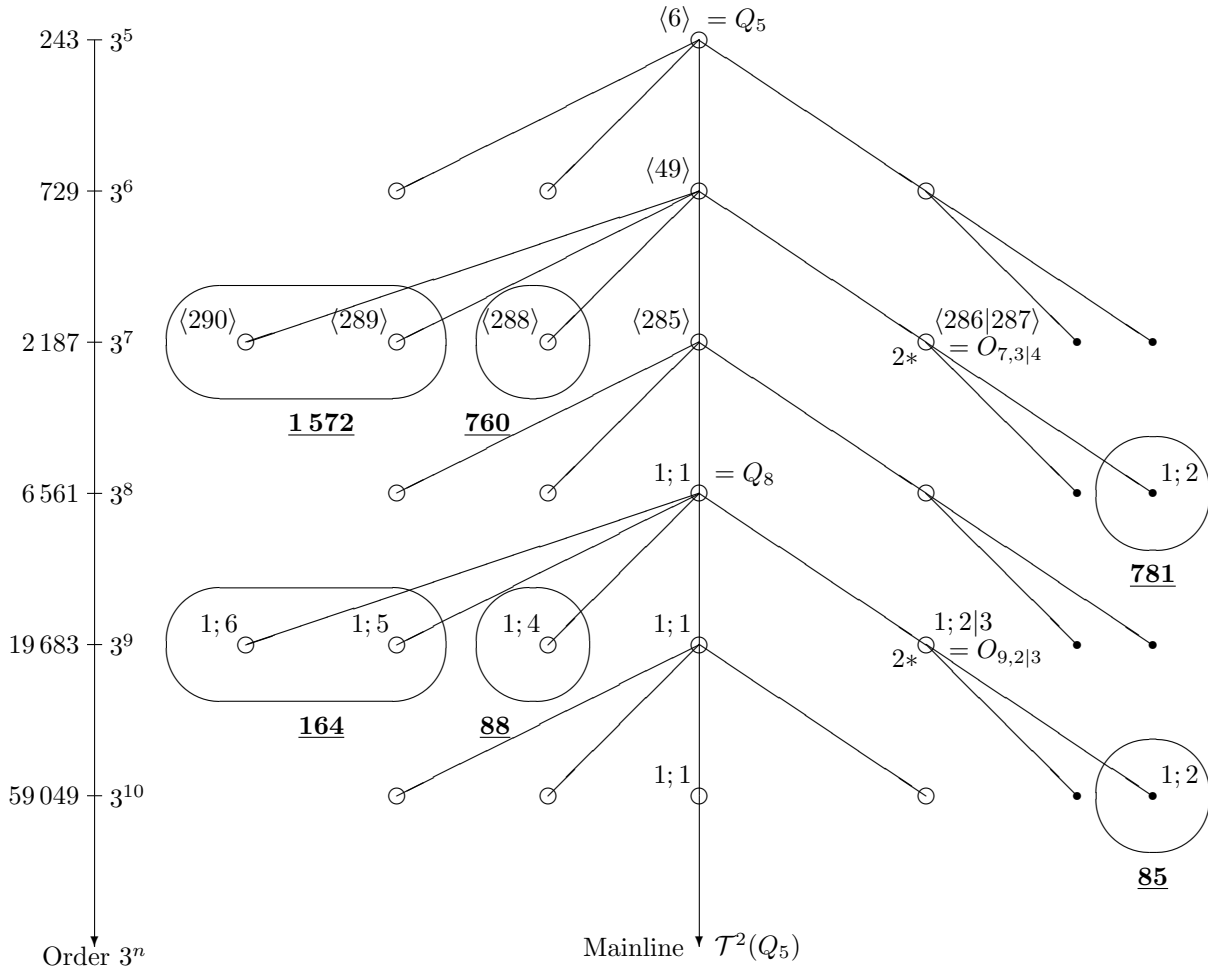
In Table 5, $Q_8 := \langle 3^7, 285 \rangle - \#1; 1$, $U_8 := \langle 3^7, 303 \rangle - \#1; 1$,
 $O_{7,3|4} := \langle 3^7, 286|287 \rangle$, $O_{9,2|3} := Q_8 - \#1; 2|3$,
 $S_{7,1|5} := \langle 3^7, 301|305 \rangle$, and $S_{9,3|5} := U_8 - \#1; 3|5$.

FIGURE 7. Population of the sporadic coclass-2 graph $\mathcal{G}_0(3, 2)$



The definite **high-champ** of the distribution is type D.10* which alone covers 33.28% (nearly a third) with its 7 622 hits.

FIGURE 8. Population of the first admissible coclass-2 tree $\mathcal{T}^2(Q_5)$

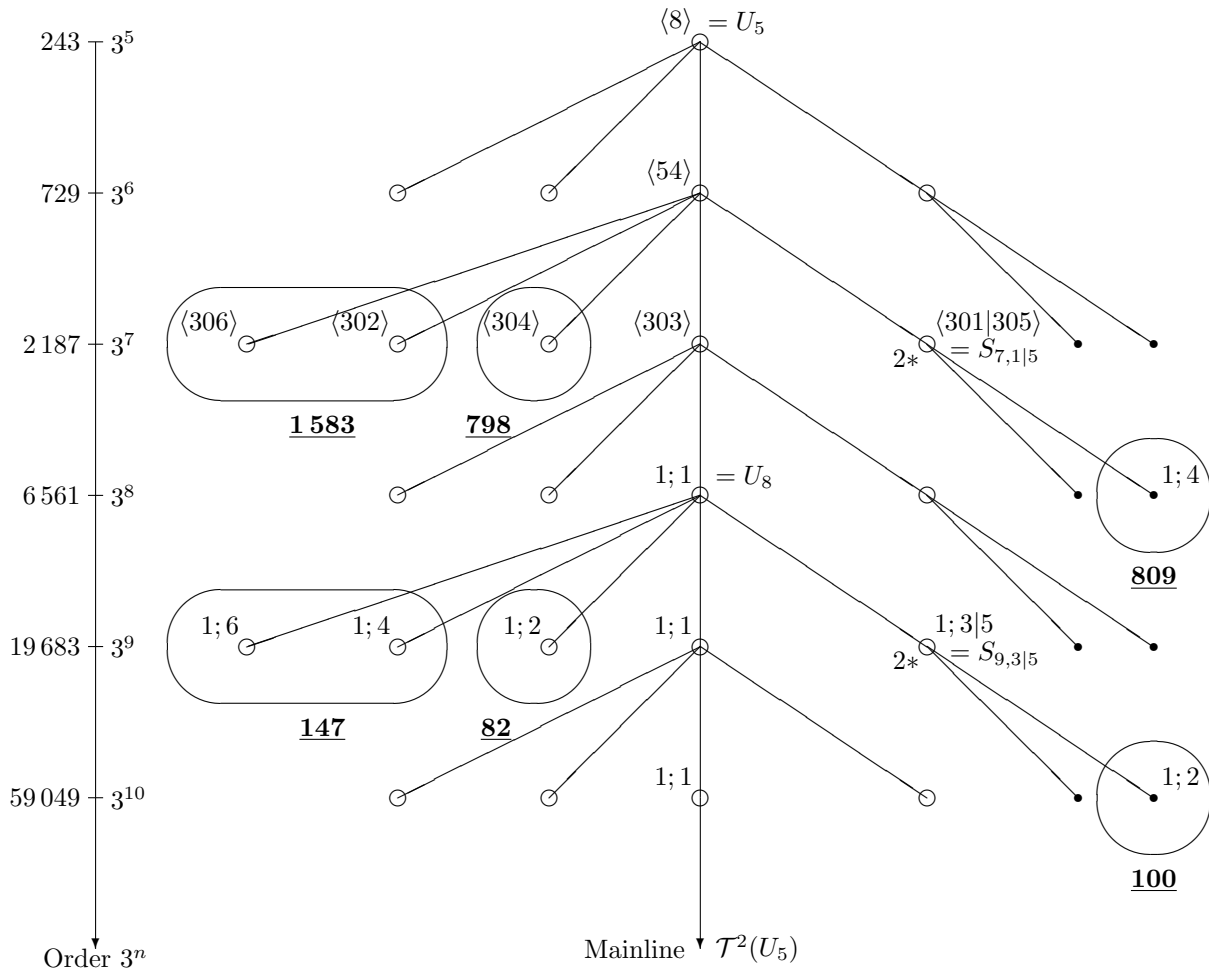


Type	E.14	E.14	E.6	c.18	H.4
$\varkappa =$	(2313)	(2313)	(1313)	(0313)	(3313)

The large-scale **separation of the types E.6 and E.14** became feasible for the first time **by our new algorithm**.

For identifying the groups $G_3^2 K$ of type H.4, it was **not necessary** to compute Hilbert 3-class fields $F_3^1 K$ of absolute degree 18.

FIGURE 9. Population of the second admissible coclass-2 tree $\mathcal{T}^2(U_5)$



Type	E.9	E.9	E.8	c.21	G.16
$\varkappa =$	(2231)	(2231)	(1231)	(0231)	(4231)

The large-scale **separation of the types E.8 and E.9** became feasible for the first time **by our new algorithm.**

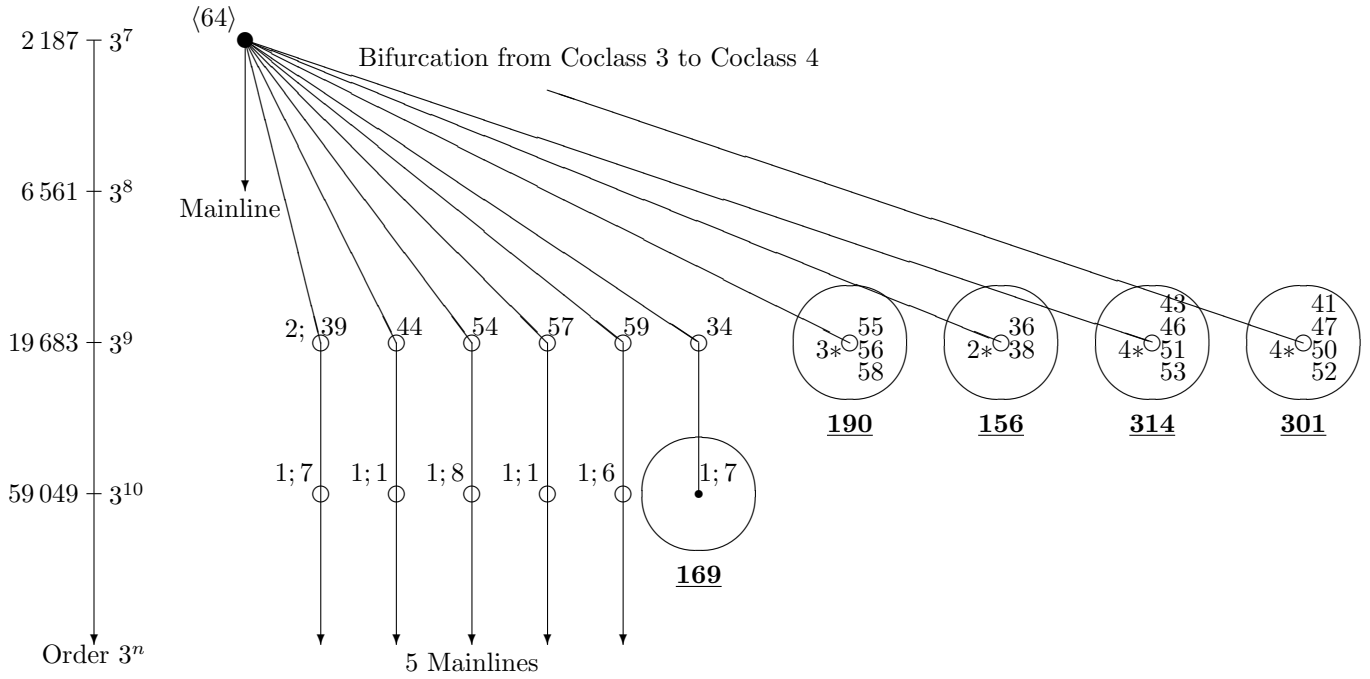
For identifying the groups $G_3^2 K$ of type G.16, it was **not necessary** to compute Hilbert 3-class fields $F_3^1 K$ of absolute degree 18.

§ 3. Statistics for $\text{cc}(G_3^2K) = 4$

TABLE 6. 3-Capitulation Types F, G and H

Type	Artin Pattern		Freq.		#
	\varkappa	τ			
F.7*	(3443)	$(32)^2, (1^3)^2$	190		3
F.11*	(1143)	$(32)^2, (1^3)^2$	156		2
F.12*	(1343)	$(32)^2, (1^3)^2$	314		4
F.13*	(3143)	$(32)^2, (1^3)^2$	301		4
G.16*	(1243)	$(32)^2, (1^3)^2$	70		1
G.19*	(2143)	$(32)^2, (1^3)^2$	93		1
H.4*	(4443)	$(32)^2, (1^3)^2$	169		1
F.7	(3443)	43, 32, $(1^3)^2$	26		4
F.11	(1143)	43, 32, $(1^3)^2$	25		2
F.12	(1343)	43, 32, $(1^3)^2$	70		8
F.13	(3143)	43, 32, $(1^3)^2$	66		8
G.16	(1243)	43, 32, $(1^3)^2$	13		1
G.19	(2143)	43, 32, $(1^3)^2$	21		1
H.4	(4443)	43, 32, $(1^3)^2$	27		1
F.7 \uparrow	(3443)	54, 32, $(1^3)^2$	2		4
F.11 \uparrow	(1143)	54, 32, $(1^3)^2$	1		2
F.12 \uparrow	(1343)	54, 32, $(1^3)^2$	9		8
F.13 \uparrow	(3143)	54, 32, $(1^3)^2$	1		8
H.4 \uparrow	(4443)	54, 32, $(1^3)^2$	3		1
Total:			1 557	6.36% of 24 476	

FIGURE 10. Population of the sporadic coclass-4 graph $\mathcal{G}_0(3, 4)$



Type	H.4*	F.7*	F.11*	F.12*	F.13*
$\varkappa =$	(4443)	(3443)	(1143)	(1343)	(3143)

§ 4. Statistics for $\text{cc}(G_3^2 K) = 6$

TABLE 7. 3-Capitulation Types F and G

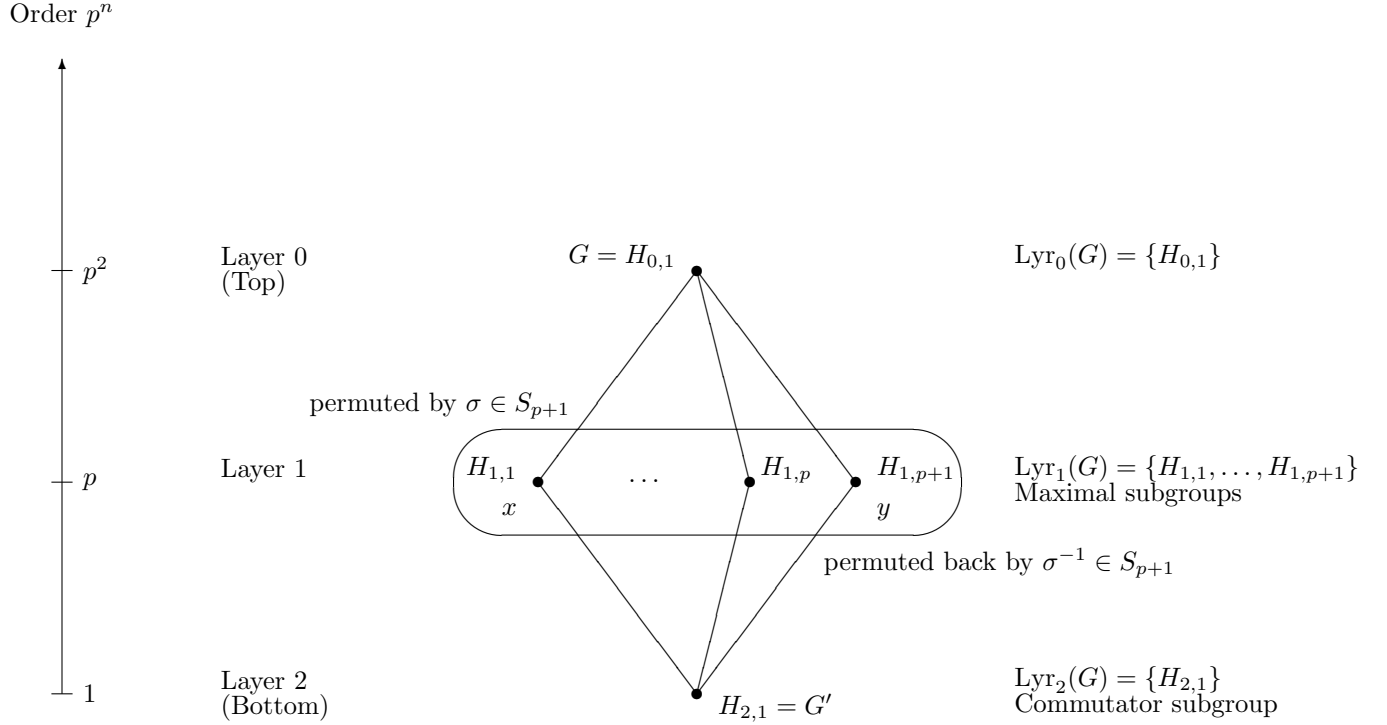
Type	Artin Pattern		Freq.		#
	\varkappa	τ			
F.7*	(3443)	$(43)^2, (1^3)^2$	3		3
F.11*	(1143)	$(43)^2, (1^3)^2$	3		2
F.12*	(1343)	$(43)^2, (1^3)^2$	2		4
F.13*	(3143)	$(43)^2, (1^3)^2$	6		4
G.19*	(2143)	$(43)^2, (1^3)^2$	1		1
F.7	(3443)	54, 43, $(1^3)^2$	3		4
G.16	(1243)	54, 43, $(1^3)^2$	1		1
Total:			19	0.08% of 24 476	

APPENDIX II

Brief p -Capitulation Types
and their Orbits under Permutations

§ 1. Orbits of p -Capitulation Types

FIGURE 11. Subgroups of index, resp. order, p in $G/G' = \langle x, y \rangle \simeq (p, p)$



We have the correspondence

$$H_{1,j}/G' \simeq \text{Norm}_{L_j|K} \text{Cl}_p L_j \quad \text{and} \quad G/G' \simeq \text{Cl}_p K.$$

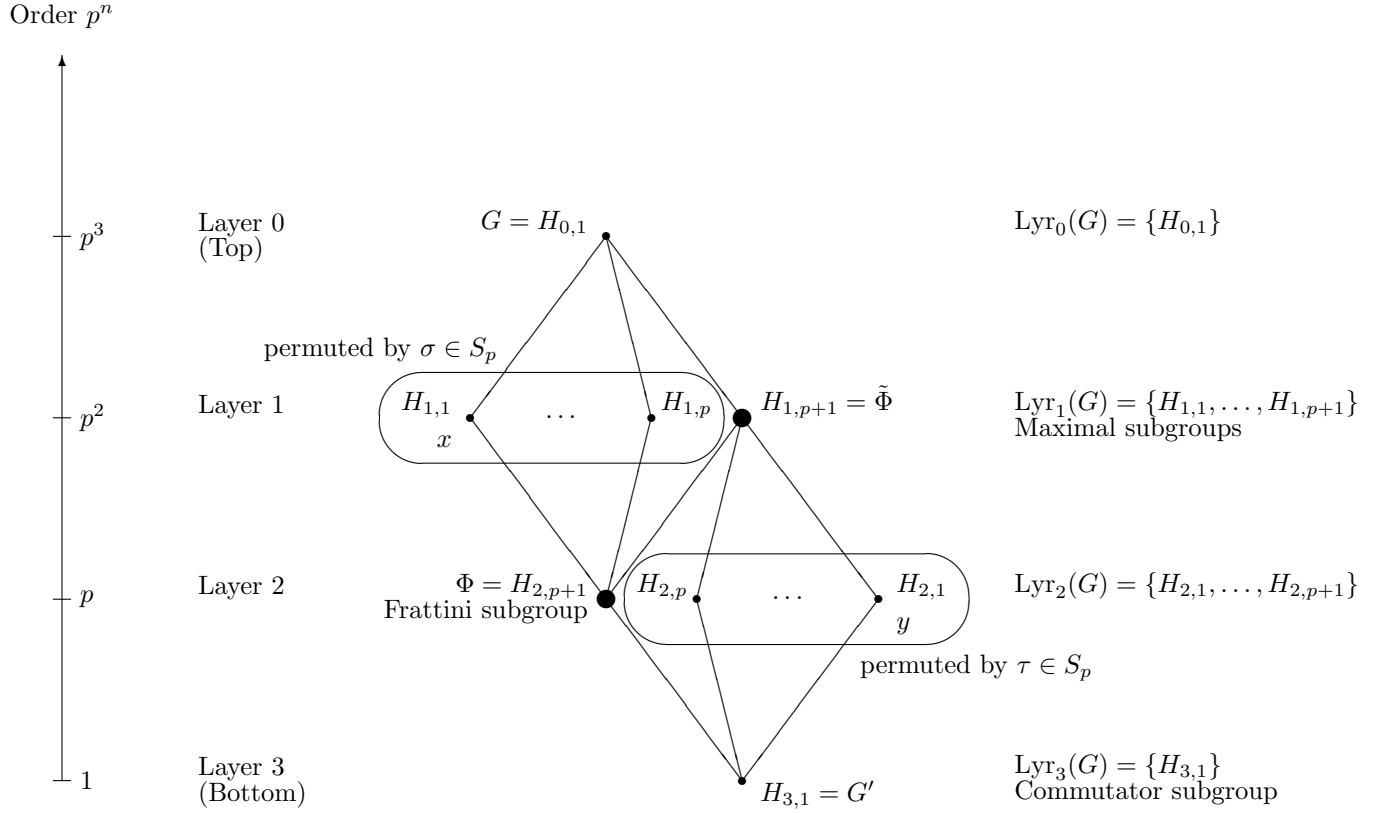
For a **partial** capitulation, we put

$$\ker J_{L_i|K} = \text{Norm}_{L_j|K} \text{Cl}_p L_j \iff : \mathfrak{r}_i(K) = j,$$

with some $1 \leq j \leq p + 1$.

For a **total** capitulation, we put

$$\ker J_{L_i|K} = \text{Cl}_p K = E_p \iff : \mathfrak{r}_i(K) = 0.$$

FIGURE 12. Subgroups of index, resp. order, p in $G/G' = \langle x, y \rangle \simeq (p^2, p)$ 

We have the correspondence

$$H_{1,j}/G' \simeq \text{Norm}_{L_j|K} \text{Cl}_p L_j \quad \text{and} \quad G/G' \simeq \text{Cl}_p K,$$

but the subgroups of $\tilde{\Phi} = H_{1,p+1}$ are $H_{2,1}, \dots, H_{2,p+1}$.

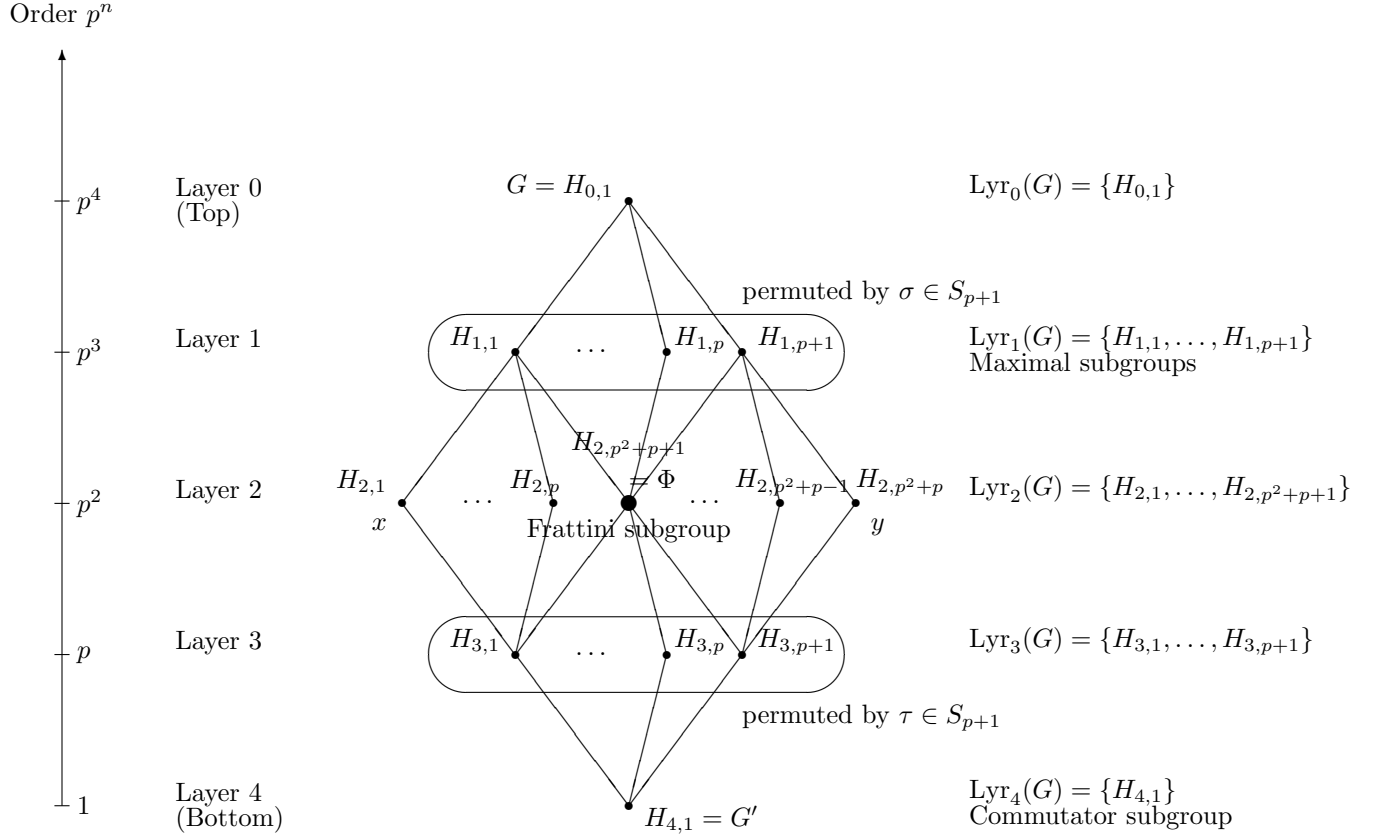
For a **1-dimensional** capitulation, we put

$$\ker J_{L_i|K} \simeq H_{2,j}/G' \iff \nu_i(K) = j,$$

with some $1 \leq j \leq p+1$.

For a **2-dimensional** capitulation, we put

$$\ker J_{L_i|K} = E_p \simeq \tilde{\Phi}/G' \iff \nu_i(K) = 0.$$

FIGURE 13. Subgroups of index, resp. order, p in $G/G' = \langle x, y \rangle \simeq (p^2, p^2)$ 

We have the correspondence

$$H_{1,j}/G' \simeq \text{Norm}_{L_j|K} \text{Cl}_p L_j \quad \text{and} \quad G/G' \simeq \text{Cl}_p K,$$

but the subgroups of $\Phi = H_{2,p^2+p+1}$ are $H_{3,1}, \dots, H_{3,p+1}$.

For a **1-dimensional** capitulation, we put

$$\ker J_{L_i|K} \simeq H_{3,j}/G' \iff : \mathfrak{r}_i(K) = j,$$

with some $1 \leq j \leq p+1$.

For a **2-dimensional** capitulation, we put

$$\ker J_{L_i|K} = E_p \simeq \Phi/G' \iff : \mathfrak{r}_i(K) = 0.$$

§ 2. Orbits of 2-Capitulation Types

In this section, we put $p = 2$ and successively consider number fields K with 2-class group

$$\begin{aligned} \text{Cl}_2 K &\simeq (2, 2), \\ \text{Cl}_2 K &\simeq (4, 2), \\ \text{Cl}_2 K &\simeq (4, 4). \end{aligned}$$

With respect to orbit cardinalities, recall that $|S_3| = 6$, $|S_2 \times S_2| = 4$, $|S_3 \times S_3| = 36$.

2.1. Orbits under a single S_3 -permutation

$$\varkappa^{S_3} = \{\sigma^{-1} \circ \varkappa \circ \sigma \mid \sigma \in S_3\}$$

TABLE 8. 7 Orbits of 2-Capitulation Types $\varkappa \in [1, 3]^3$ for $\text{Cl}_2 K \simeq (2, 2)$

No.	Type	Representative	Occupation	Fixed Points	#
		\varkappa	$o(\varkappa)$		
1	A.1	(1, 1, 1)	(3, 0, 0)	1	3
2	B.3	(1, 1, 2)	(2, 1, 0)	1	6
3	B.2	(1, 1, 3)	(2, 0, 1)	1, 3	6
4	Q.5	(1, 2, 3)	(1, 1, 1)	1, 2, 3	1
5	Q.6	(1, 3, 2)	(1, 1, 1)	1	3
6	S.4	(2, 1, 1)	(2, 1, 0)		6
7	C.7	(2, 3, 1)	(1, 1, 1)		2
Total:					27

Arithmetical Realizations with $K = \mathbb{Q}(\sqrt{d})$, $d < 0$

$d = -120$: $\text{Cl}_2 K \simeq (2, 2)$, $G = Q(8)$, $\varkappa = (1, 2, 3)$, No. 4
quaternion

$d = -312$: $\text{Cl}_2 K \simeq (2, 2)$, $G = Q(16)$, $\varkappa = (1, 3, 2)$, No. 5
generalized quaternion

$d = -340$: $\text{Cl}_2 K \simeq (2, 2)$, $G = S(16)$, $\varkappa = (2, 2, 1)$, No. 6
semi-dihedral

No. 1, 2, 3, 7 are impossible, for group theoretic reasons.

2.2. Orbits under two independent S_2 -permutations

$$\varkappa^{S_2 \times S_2} = \{\tau \circ \varkappa \circ \sigma \mid \sigma, \tau \in S_2, \sigma(3) = \tau(3) = 3\}$$

TABLE 9. 10 Orbits of 2-Capitulation Types $\varkappa \in [1, 3]^3$ for $\text{Cl}_2 K \simeq (2^u, 2)$, $u \geq 2$

No.	Type	Representative	Occupation	#
		\varkappa	$o(\varkappa)$	
1	A.1	(1, 1; 1)	(3, 0; 0)	2
2	B.2	(1, 1; 2)	(2, 1; 0)	2
3	B.3	(1, 1; 3)	(2, 0; 1)	2
4	B.4	(1, 2; 1)	(2, 1; 0)	4
5	C.5	(1, 2; 3)	(1, 1; 1)	2
6	B.6	(1, 3; 1)	(2, 0; 1)	4
7	C.7	(1, 3; 2)	(1, 1; 1)	4
8	B.8	(1, 3; 3)	(1, 0; 2)	4
9	B.9	(3, 3; 1)	(1, 0; 2)	2
10	A.10	(3, 3; 3)	(0, 0; 3)	1
			Total:	27

2.3. Orbits under two independent S_3 -permutations

$$\varkappa^{S_3 \times S_3} = \{\tau \circ \varkappa \circ \sigma \mid \sigma, \tau \in S_3\}$$

TABLE 10. 3 Orbits of 2-Capitulation Types $\varkappa \in [1, 3]^3$ for $\text{Cl}_2 K \simeq (2^u, 2^v)$, $u, v \geq 2$

No.	Type	Representative	Occupation	#
		\varkappa	$o(\varkappa)$	
1	A	(1, 1, 1)	(3, 0, 0)	3
2	B	(1, 1, 2)	(2, 1, 0)	18
3	C	(1, 2, 3)	(1, 1, 1)	6
Total:				27

§ 3. Orbits of 3-Capitulation Types

In this section, we put $p = 3$ and successively consider number fields K with 3-class group

$$\begin{aligned} \text{Cl}_3 K &\simeq (3, 3), \\ \text{Cl}_3 K &\simeq (9, 3), \\ \text{Cl}_3 K &\simeq (9, 9). \end{aligned}$$

With respect to orbit cardinalities, recall that $|S_4| = 24$, $|S_3 \times S_3| = 36$, $|S_4 \times S_4| = 576$.

3.1. Orbits under a single S_4 -permutation

$$\varkappa^{S_4} = \{\sigma^{-1} \circ \varkappa \circ \sigma \mid \sigma \in S_4\}$$

TABLE 11. 19 Orbits of 3-Capitulation Types $\varkappa \in [1, 4]^4$ for $\text{Cl}_3 K \simeq (3, 3)$

No.	Type	Representative	Occupation	Fixed Points	#
		\varkappa	$o(\varkappa)$		
1	A.1	(1, 1, 1, 1)	(4, 0, 0, 0)	1	4
2	B.3	(1, 1, 1, 2)	(3, 1, 0, 0)	1	24
3	B.2	(1, 1, 1, 4)	(3, 0, 0, 1)	1, 4	12
4	E.6	(1, 1, 2, 2)	(2, 2, 0, 0)	1	12
5	D.10	(1, 1, 2, 3)	(2, 1, 1, 0)	1	24
6	E.9	(1, 1, 2, 4)	(2, 1, 0, 1)	1, 4	24
7	D.5	(1, 1, 3, 3)	(2, 0, 2, 0)	1, 3	12
8	E.8	(1, 1, 3, 4)	(2, 0, 1, 1)	1, 3, 4	12
9	F.11	(1, 1, 4, 3)	(2, 0, 1, 1)	1	12
10	C.15	(1, 2, 3, 4)	(1, 1, 1, 1)	1, 2, 3, 4	1
11	G.16	(1, 2, 4, 3)	(1, 1, 1, 1)	1, 2	6
12	F.12	(1, 3, 2, 2)	(1, 2, 1, 0)	1	24
13	C.17	(1, 3, 4, 2)	(1, 1, 1, 1)	1	8
14	H.4	(2, 1, 1, 1)	(3, 1, 0, 0)		12
15	F.7	(2, 1, 1, 2)	(2, 2, 0, 0)		12
16	F.13	(2, 1, 1, 3)	(2, 1, 1, 0)		24
17	G.19	(2, 1, 4, 3)	(1, 1, 1, 1)		3
18	E.14	(2, 3, 1, 1)	(2, 1, 1, 0)		24
19	C.18	(2, 3, 4, 1)	(1, 1, 1, 1)		6
				Total:	256

3.2. Orbits under two independent S_3 -permutations

$$\varkappa^{S_3 \times S_3} = \{\tau \circ \varkappa \circ \sigma \mid \sigma, \tau \in S_3, \sigma(4) = \tau(4) = 4\}$$

TABLE 12. 20 Orbits of 3-Capitulation Types $\varkappa \in [1, 4]^4$ for $\text{Cl}_3 K \simeq (3^u, 3)$, $u \geq 2$

No.	Type	Representative	Occupation	#
		\varkappa	$o(\varkappa)$	
1	A.1	(1, 1, 1; 1)	(4, 0, 0; 0)	3
2	B.2	(1, 1, 1; 2)	(3, 1, 0; 0)	6
3	B.7	(1, 1, 1; 4)	(3, 0, 0; 1)	3
4	B.3	(1, 1, 2; 1)	(3, 1, 0; 0)	18
5	C.4	(1, 1, 2; 2)	(2, 2, 0; 0)	18
6	D.5	(1, 1, 2; 3)	(2, 1, 1; 0)	18
7	D.9	(1, 1, 2; 4)	(2, 1, 0; 1)	18
8	B.8	(1, 1, 4; 1)	(3, 0, 0; 1)	9
9	D.10	(1, 1, 4; 2)	(2, 1, 0; 1)	18
10	C.14	(1, 1, 4; 4)	(2, 0, 0; 2)	9
11	D.6	(1, 2, 3; 1)	(2, 1, 1; 0)	18
12	E.12	(1, 2, 3; 4)	(1, 1, 1; 1)	6
13	D.11	(1, 2, 4; 1)	(2, 1, 0; 1)	36
14	E.13	(1, 2, 4; 3)	(1, 1, 1; 1)	18
15	D.16	(1, 2, 4; 4)	(1, 1, 0; 2)	18
16	C.15	(1, 4, 4; 1)	(2, 0, 0; 2)	9
17	D.17	(1, 4, 4; 2)	(1, 1, 0; 2)	18
18	B.18	(1, 4, 4; 4)	(1, 0, 0; 3)	9
19	B.19	(4, 4, 4; 1)	(1, 0, 0; 3)	3
20	A.20	(4, 4, 4; 4)	(0, 0, 0; 4)	1
Total:				256

3.3. Orbits under two independent S_4 -permutations

$$\varkappa^{S_4 \times S_4} = \{\tau \circ \varkappa \circ \sigma \mid \sigma, \tau \in S_4\}$$

TABLE 13. 5 Orbits of 3-Capitulation Types $\varkappa \in [1, 4]^4$ for $\text{Cl}_3 K \simeq (3^u, 3^v)$, $u, v \geq 2$

No.	Type	Representative	Occupation	#
		\varkappa	$o(\varkappa)$	
1	A	(1, 1, 1, 1)	(4, 0, 0, 0)	4
2	B	(1, 1, 1, 2)	(3, 1, 0, 0)	48
3	C	(1, 1, 2, 2)	(2, 2, 0, 0)	36
4	D	(1, 1, 2, 3)	(2, 1, 1, 0)	144
5	E	(1, 2, 3, 4)	(1, 1, 1, 1)	24
Total:				256

Arithmetical Realizations with $K = \mathbb{Q}(\sqrt{d})$, $d < 0$

$d = -134\,059$: $\text{Cl}_3 K \simeq (9, 9)$, $\varkappa = (3, 2, 4, 1)$, No. 5

$d = -208\,084$: $\text{Cl}_3 K \simeq (9, 9)$, $\varkappa = (2, 2, 2, 2)$, No. 1

$d = -5\,184\,227$: $\text{Cl}_3 K \simeq (81, 9)$, $\varkappa = (3, 3, 1, 3)$, No. 2

§ 4. Orbits of 5-Capitulation Types

In this section, we put $p = 5$ and successively consider number fields K with 5-class group

$$\begin{aligned} \text{Cl}_5 K &\simeq (5, 5), \\ \text{Cl}_5 K &\simeq (25, 5), \\ \text{Cl}_5 K &\simeq (25, 25). \end{aligned}$$

With respect to orbit cardinalities, recall that

$$\begin{aligned} |S_6| &= 720, & |S_5 \times S_5| &= 14\,400, \\ |S_6 \times S_6| &= 518\,400. \end{aligned}$$

4.1. Orbits under a single S_6 -permutation

$$\varkappa^{S_6} = \{\sigma^{-1} \circ \varkappa \circ \sigma \mid \sigma \in S_6\}$$

TABLE 14. 130 Orbits of 5-Capitulation Types $\varkappa \in [1, 6]^6$ for $\text{Cl}_5 K \simeq (5, 5)$

No.	Type	Representative	Occupation	Fixed Points	#
		\varkappa	$o(\varkappa)$		
1		(1, 1, 1, 1, 1, 1)	(6, 0, 0, 0, 0, 0)	1	6
2		(1, 1, 1, 1, 1, 2)	(5, 1, 0, 0, 0, 0)	1	120
3		(1, 1, 1, 1, 1, 6)	(5, 0, 0, 0, 0, 1)	1, 6	30
4		(1, 1, 1, 1, 2, 2)	(4, 2, 0, 0, 0, 0)	1	180
5		(1, 1, 1, 1, 2, 3)	(4, 1, 1, 0, 0, 0)	1	360
6		(1, 1, 1, 1, 2, 5)	(4, 1, 0, 0, 1, 0)	1	360
7		(1, 1, 1, 1, 2, 6)	(4, 1, 0, 0, 0, 1)	1, 6	360
8		(1, 1, 1, 1, 5, 5)	(4, 0, 0, 0, 2, 0)	1, 5	120
9		(1, 1, 1, 1, 5, 6)	(4, 0, 0, 0, 1, 1)	1, 5, 6	60
10		(1, 1, 1, 1, 6, 5)	(4, 0, 0, 0, 1, 1)	1, 6	60
			
128		(2, 3, 4, 1, 1, 5)	(2, 1, 1, 1, 1, 0)		720
129		(2, 3, 4, 5, 1, 1)	(2, 1, 1, 1, 1, 0)		720
130		(2, 3, 4, 5, 6, 1)	(1, 1, 1, 1, 1, 1)		120
				Total:	46 656

4.2. Orbits under two independent S_5 -permutations

$$\varkappa^{S_5 \times S_5} = \{\tau \circ \varkappa \circ \sigma \mid \sigma, \tau \in S_5, \sigma(6) = \tau(6) = 6\}$$

TABLE 15. 63 Orbits of 5-Capitulation Types $\varkappa \in [1, 6]^6$ for $\text{Cl}_5 K \simeq (5^u, 5)$, $u \geq 2$

No.	Type	Representative	Occupation	#
		\varkappa	$o(\varkappa)$	
1		(1, 1, 1, 1, 1; 1)	(6, 0, 0, 0, 0; 0)	5
2		(1, 1, 1, 1, 1; 2)	(5, 1, 0, 0, 0; 0)	20
3		(1, 1, 1, 1, 1; 6)	(5, 0, 0, 0, 0; 1)	5
4		(1, 1, 1, 1, 2; 1)	(5, 1, 0, 0, 0; 0)	100
5		(1, 1, 1, 1, 2; 2)	(4, 2, 0, 0, 0; 0)	100
6		(1, 1, 1, 1, 2; 3)	(4, 1, 1, 0, 0; 0)	300
7		(1, 1, 1, 1, 2; 6)	(4, 1, 0, 0, 0; 1)	100
8		(1, 1, 1, 1, 6; 1)	(5, 0, 0, 0, 0; 1)	25
9		(1, 1, 1, 1, 6; 2)	(4, 1, 0, 0, 0; 1)	100
10		(1, 1, 1, 1, 6; 6)	(4, 0, 0, 0, 0; 2)	25
		
34		(1, 1, 2, 3, 4; 2)	(2, 2, 1, 1, 0; 0)	3 600
		
61		(1, 6, 6, 6, 6; 6)	(1, 0, 0, 0, 0; 5)	25
62		(6, 6, 6, 6, 6; 1)	(1, 0, 0, 0, 0; 5)	5
63		(6, 6, 6, 6, 6; 6)	(0, 0, 0, 0, 0; 6)	1
Total:				46 656

4.3. Orbits under two independent S_6 -permutations

$$\varkappa^{S_6 \times S_6} = \{\tau \circ \varkappa \circ \sigma \mid \sigma, \tau \in S_6\}$$

TABLE 16. 11 Orbits of 5-Capitulation Types $\varkappa \in [1, 6]^6$ for $\text{Cl}_5 K \simeq (5^u, 5^v)$, $u, v \geq 2$

No.	Type	Representative	Occupation	#
		\varkappa	$o(\varkappa)$	
1		(1, 1, 1, 1, 1, 1)	(6, 0, 0, 0, 0, 0)	6
2		(1, 1, 1, 1, 1, 2)	(5, 1, 0, 0, 0, 0)	180
3		(1, 1, 1, 1, 2, 2)	(4, 2, 0, 0, 0, 0)	450
4		(1, 1, 1, 1, 2, 3)	(4, 1, 1, 0, 0, 0)	1 800
5		(1, 1, 1, 2, 2, 2)	(3, 3, 0, 0, 0, 0)	300
6		(1, 1, 1, 2, 2, 3)	(3, 2, 1, 0, 0, 0)	7 200
7		(1, 1, 1, 2, 3, 4)	(3, 1, 1, 1, 0, 0)	7 200
8		(1, 1, 2, 2, 3, 3)	(2, 2, 2, 0, 0, 0)	1 800
9		(1, 1, 2, 2, 3, 4)	(2, 2, 1, 1, 0, 0)	16 200
10		(1, 1, 2, 3, 4, 5)	(2, 1, 1, 1, 1, 0)	10 800
11		(1, 2, 3, 4, 5, 6)	(1, 1, 1, 1, 1, 1)	720
Total:				46 656